



**OBLON** 

SPIVAK

**McClelland** 

MAIER

**NEUSTADT** 

P.C.

Docket No.: 217206US-3 PCT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

RE: Application Serial No.: 09/926,811

Applicants: YOSHIHIKO FUNAKOSHI ET AL.

Filing Date: DECEMBER 21, 2001

For: RADIOACTIVE SUBSTANCE CONTAINER...

Group Art Unit:

Examiner:

ATTORNEYS AT LAW GREGORY J. MAIER (703) 413-3000 GMAIER@OBLON.COM

SURINDER SACHAR (703) 413-3000 SSACHAR@OBLON.COM

SIR:

Attached hereto for filing are the following papers:

#### **RESPONSE**

#### COPY OF RESPONSE TO NOTICE OF MISSING REQUIREMENTS, DECLARATION OF YOSHIHARU TAURA, AND NEW DECLARATION FILED JULY 1, 2002, ALONG WITH A COPY OF THE DATE-STAMPED FILING RECEIPT

Our check in the amount of -0is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier

Attorney of Record

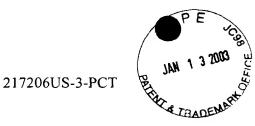
Registration No. 25,599

Surinder Sachar

Registration No. 34,423

22850

(703) 413-3000 (phone) (703) 413-2220 (fax)



#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

YOSHIHIKO FUNAKOSHI ET AL.

: EXAMINER:

SERIAL NO: 09/926,811

FILED: DECEMBER 21, 2001

: GROUP ART UNIT:

FOR: RADIOACTIVE SUBSTANCE

CONTAINER, MANUFACTURING APPARATUS THEREOF AND

MANUFACTURING METHOD THEREOF

#### **RESPONSE**

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

In response to the Communication of November 13, 2002, the present Response is submitted.

The Communication of November 13, 2002, indicated that the previously submitted Declarations for the above-identified application were defective.

However, in a telephone conversation with PCT Legal Examiner Rafael Bacares, Mr. Bacares indicated that the Communication of November 13, 2002, did not consider the Declarations filed July 1, 2002. The Declarations filed on July 1, 2002, are believed to be proper as each Declaration indicates all of the inventors. For convenience a copy of the Declarations filed July 1, 2002 are submitted herewith, along with the date-stamped filing receipt indicating their filing. Also, the Declarations filed July 1, 2002, were a facsimile

copy, and the original copy with the original signature of those Declarations is also submitted herewith.

The enclosed papers are believed to fully address the issues in the Communication of November 13, 2002. The filed Declarations are therefore believed to be proper.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier Attorney of Record

Registration No. 25,599

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Surinder Sachar

Registration No. 34,423

22850

Tel.: (703) 413-3000 Fax: (703) 413-2220

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O.S.&M. File No. <u>217206US3PCT</u> By <u>CIM/dty</u> FF
Due date6-30-02
Serial No. <u>09/926,811</u>
In the Matter of the Application of <u>Yoshihiko FUNAKOSHI, et al.</u>
For <u>RADIOACTIVE SUBSTANCE CONTAINER</u> , <u>MANUFACTURING APPARATUS THEREOF AND MANUFACTURING METHOD</u> THEREOF
The following has been received in the U.S. Patent Office on the date stamp hereon:
[ Response to Notice of Missing Requirement Under 37 U.S.C. 371
[ Copy of Notification of Defective Response
[ Declaration of Yoshiharu TAURA
[ New Declaration in accordance with 37 CFR 1.66 or 1.68 (10 Pages)
[ ] Combined Declaration, Petition & Power of Attorney ( pages)
[] Verified Statement (Declaration) Claiming Small Entity Status
[ ] Petition for Extension of Time
[ ] Submission of Verified Statement (Declaration) Claiming Small Entity Status
[ ] Check for \$; [ Prop. Acct. Order Form
[] Letter to Official Draftsman
[ ] Letter Requesting Approval of Drawing Changes
[ ] Drawings sheets (Informal)
[ ] PCT Transmittal Letter
[ ] Preliminary Amendment
[] Information Disclosure Statement; [] PTO-1449
[ ] Cited References ( )

Date Rec'd 90 Rec'd PCT/PTO 01 JUL 2002

DOCKET NO .:

217206US3PCT

#### IN THE UNITED STATES TRADEMARK OFFICE

IN RE APPLICATION OF:

Yoshihiko FUNAKOSHI, et al.

SERIAL NUMBER:

09/926,811

FILED:

December 21, 2001

FOR:

RADIOACTIVE SUBSTANCE CONTAINER, MANUFACTURING

APPARATUS THEREOF AND MANUFACTURING METHOD THEREOF

#### RESPONSE TO NOTICE OF MISSING REQUIREMENT UNDER 35 U.S.C. 371

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Responsive to the notification of a Defective Oath or Declaration dated May 30, 2002, Applicants submit herewith a Declaration of Yoshiharu TAURA and a new Declaration executed in accordance with 37 CFR 1.66 or 37 CFR 1.68.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Our check in the amount of \$ - 0 - is attached hereto. If any variance exists between the amount enclosed and the required Government fee, please charge or credit the difference to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136 and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

22850

C. Irvin McClelland Attorney of Record Registration No. 21,124 Surinder Sachar Registration No. 34,423

(703) 413-3000

2002年 7月 18 15時41分

INT'L PATENT 81355124799



No. 9237 PMHA-01004=

DOCKET NO.:

217206US3PCT

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshihiko FUNAKOSHI, et al.

SERIAL NO. :

09/926,811

FILING DATE:

December 21, 2001

FOR:

RADIOACTIVE SUBSTANCE CONTAINER, MANUFACTURING

APPARATUS THEREOF AND MANUFACTURING METHOD THEREOF

#### **DECLARATION OF Yoshiharu TAURA**

I. Yoshiharu TAURA, am the sixth-named inventor of the above-identified application which is the national phase of International PCT Application No. PCT/JP01/02420, filed March 26, 2001.

It has been brought to my attention that my first name was spelled incorrectly in the International application due to an error in translation. Specifically, my first name was spelled "Ryouji." My true and correct name is Yoshiharu TAURA, which has been set forth on the Declaration, Power of Attorney and Petition filed herewith.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: Jun. 28, 2002

Signature: Yoshiham Jaura
Yoshiham TAURA

c/o Paper & Printing Machinery Division, ...

MITSUBISHI HEAVY INDUSTRIES, LID. 5007, Itozaki-cho, Mihara, Hiroshima 729-0393

Japan



## **Declaration and Power of Attorney For Patent Application**

## 特許出願宣言書及び委任状

### Japanese Language Declaration

#### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。	As a below named inventor, I hereby declare that:
私の住所、郵便の宛先、国籍は下記の私の氏名の後に記 載された通りです。	My residence, mailing address and citizenship are as stated next to my name.
下記の名称の発明に関して請求範囲に記載され、特許出 顧している発明内容について、私が最初かつ唯一の発明 者(下記の氏名が一つの場合)もしくは最初かつ共同発 明者(下記の名称が複数の場合)であると信じていま す。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.
	RADIOACTIVE SUBSTANCE CONTAINER, MANUFACTURING APPARATUS THEREOF AND MANUFACTURING METHOD THEREOF
上記発明の明細書は、	the specification of which
□ 本書に抵付されています。	ls attached hereto.
□月日に提出され、米国出願番号または特	was filed on December 21, 2001
許協定条約国際出願番号を	as United States Application Number or PCT International Application Number
ځل.	09/926,811 and was amended on
(該当する場合) に訂正されました。	(if applicable)
私は、特許諸求範囲を含む上記訂正後の明無書を検討し、 内容を理解していることをここに表明します。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編第1条56項に定義されると おり、特許資格の有無について重要な情報を開示する義 務があることを認めます。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Cod of Federal Regulations, § 1.56.

# Japanese Languag Declaration

(日本語宣言書)

私は、米国法典第35編119条(a) - (d)項又は365条 (b) 項に基づき下記の、米国以外の国の少なくとも一ヵ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(8)
外国での先行出類
2000-124778 JAPAN
(Number) 国名)

2000-205872 JAPAN
(Number) GOUNTLY)
番号) JAPAN
(Country) 国名)

私は、第35編米国法典119条 (e) 項に基づいて下記の米国 特許出顧規定に記載された権利をここに主張いたします。

(Application No.) (Filing Date) (出顧者号) (出顧日)

私は、下記の米国法典第35編120条に基づいて下記の米国 特許出原に記載された権利、又は米国を指定している特許協 力条約385条(a)に基づく権利をここに主張します。また、 本出顧の各請求範囲の内容が米国法典第35編112条第1項 本出顧の各請求範囲の内容が米国法典第35編112条第1項 又は特許協力条約で規定された方法で先行する米国特許出願 に開示されていない限り、その先行米国出願書提出日以降で 本出顧書の日本国内または特許協力条約国際提出日までの期 間中に入手された、連邦規則法典第37編1条56項で定義さ れた特許資格の有無に関する重要な情報について開示義務が あることを認識しています。

PCT/JP01/02420
(Application No.)
(出顧番号)

(Application No.)
(出願番号)

(Application No.)
(出願番号)

(其願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明 が真実であり、かつ私の入手した情報と私の信じるところに 基づく表明が全て真実であると信じていること、さらに故意 になされた虚偽の表明及びそれと同等の行為は米国法典第 18編第1001条に基づき、罰金または拘禁、もしくはその両 方により処罰されること、そしてそのような故意による虚偽 の声明を行なえば、出願した、又は既に許可された特許の有 の世が失われることを認識し、よってここに上配のごとく宣

委任状:私は下記の発明者として、本出願に関する一 委任状:私は下記の発明者として、本出願に関する一 切の手続きを米特許商標局に対して遂行する弁理士ま サンドルトルをする。 I hereby claim foreign priority under Title 35, United States Cod, § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

	Priority 優先神	Cizimed 記主張
	はい、 Aes 図	□ No いいえ
05 June 2000 (Day/Month/Year Filed) 出 <b>顾</b> 年月日)	図 Yes はい	□ No いいえ

I hereby claim the benefit under Title 35. United States Code, §119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date) (出顧者号) (出顧日)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status: Patented, Pending, Abandoned) (現況:特許許可濟、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現況:特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my owr knowledge are true and that all statements made or information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the connected therewith: (list

- 2002年 /月 1日 15時43分

SAKAI INI L PAIENI 81355124/99

No. 923/ P. 52001-024 PMHA-010C

#### Japanese Language Declaration (日本語宜言書)



書類送付先

郵便の宛先

Send Correspondence to:



022850

直接電話連絡先: (名前及び電話番号)

Direct Telephone calls to: (name and telephone number)

(702) 442 2000

(703)	413-3000	
単独発明者または第一の共同発明者の氏名	Full name of sole or first inventor Yoshihiko FUNAKOSHI	
発明者の署名 日付	Inventor's signature Date	
住所	Residence Fukuoka, Japan	
国络	Citizenship Japan	
郵便の宛先	Mailing Address c/o JAPAN CASTING & FORGING CORPORATION, 46- 59, Sakinohama, Oaza-Nakabaru, Tobata-ku, Kitakyusyu- shi, Fukuoka 804-0002, Japan	
第二の共同発明者の氏名	Full name of second joint inventor, If any Takeshi TSUNEZUMI	
第二の共同発明者の署名 日付	Second inventor's signature Date	
住所	Residence Fukuoka, Japan	
国籍	Citizenship Japan	
郵便の宛先	Mailing Address c/o JAPAN CASTING & FORGING CORPORATION, 46- 59, Sakinohama, Oaza-Nakabaru, Tobata-ku, Kitakyusyu- shl, Fukuoka 804-0002, Japan	
第三以降の共同発明者についても同様に記載し、 署名すること)	(Supply similar information and signature for third an subsequent joint inventors.)	
第三の共同発明者の氏名	Full name of third joint inventor, If any Nachiro MIZUNO	
第三の共同発明者の署名 日付	Third Inventor's signature Date	
住所	Residence Fukuoka, Japan	
住所	Citizenship Japan	
	ha trans a diducate	

Mailing Address

shi, Fukuoka 804-0002, Japan

c/o JAPAN CASTING & FORGING CORPORATION, 46-59, Sakinohama, Oaza-Nakabaru, Tobata-ku, Kitakyusyu-



### Japanese Language D claration (日本語宣言書)

第四の共同発明者の氏名		Full name of fourth joint Inventor, If any Katsuhiko TOKUNO	
第四の共同発明者の署名	日付	Fourth inventor's signature	Date
住所		Residence Fukuoka, Japan	
住所		Citizenship Japan	
郵使の宛先		Mailing Address c/o JAPAN CASTING & FORGING COI 59, Sakinohama, Oaza-Nakabaru, Toba shi, Fukuoka 804-0002, Japan	

第五の共同発明者の氏名		Full name of fifth joint inventor, if any Chikayuki MATSUMOTO	
第五の共同発明者の署名	日付	Fifth inventor's signature	Date
住所		Residence Fukuoka, Japan	
住所		Citizenship Japan	
郵便の宛先		Mailing Address c/o JAPAN CASTING & FORGING C 59, Sakinohama, Oaza-Nakabaru, To shi, Fukuoka 804-0002, Japan	

第六の共同発明者の氏名		Full name of sixth joint inventor, if any Yoshiharu TAURA	
第六の共同発明者の署名	日付	Sixth inventor's signature	Date
		Yoshilara Taura Jun	. 28, 2002
住所		Residence Hiroshima, Japan	
住所		Citizenship Japan	
郵便の宛先		Mailing Address c/o Paper & Printing Machinery Division, HEAVY INDUSTRIES, LTD., 5007, Itoza Hiroshima 729-0393, Japan	MITSUBISHI ki-cho, Mihara,

第七以降の共同発明者についても同様に記載し、 署名すること) (Supply similar information and signature for seventh and subsequent joint inventors.)

第三の共同発明者の氏名		Full name of seventh joint inventor, if any Shigenort SHIROGANE	/ 
第三の共同発明者の署名	日付	Seventh inventor's signature	Date
		Shigenori Shirogene Jun	. 28, 2002
住所		Residence Hiroshima, Japan	
住所		Citizenship Japan	<u></u>
郵便の宛先		Mailing Address c/o HIROSHIMA Research & Development Center, MITSUBISHI HEAVY INDUSTRIES, LTD., 6-22, Kan-or shin-machi 4-chome, Nishl-ku, Hiroshima, Hiroshima 73 8553, Japan	

郵便の宛先

No. 923/ r. 12001-024 PM+1A-0100+

### Japanese Language Declaration (日本語宣言書)

第四の共同発明者の氏名		Full name of eighth joint inventor, if Katsunari OHSONO	âny		
第四の共同発明者の署名	戶付	Eighth inventor's signature		D	ste
		Extraori Okonas	Jun.	28,	2002
住所		Residence Hyogo, Japan			
住所		Citizenship Japan			

第五の共同発明者の氏名		Full name of ninth joint inventor, if any Toshihiro MATSUOKA	
第五の共同発明者の署名	日付	Ninth inventor's signature	Date
		Joshihir matsuoka Jun	. 28, 2002
住所		Residence	
n.=		Hyogo, Japan Citizenship	
住所		Japan	
郵便の宛先		Mailing Address c/o KOBE Shipyard & Machinery Works, HEAVY INDUSTRIES, LTD., 1-1, Wadas Hyogo-ku, Kobe, Hyogo 652-8585, Japan	aki-cho 1-chome,

第六の共同発明者の氏名		Full name of tenth joint inventor, if an	У
第六の共同発明者の署名	日付	Tenth inventor's signature	Dat
住所	<del></del>	Residence	
住所	<del></del>	Citizenship	
郵便の宛先		Mailing Address	<del></del>

第七以降の共同発明者についても同様に記載し、 署名すること)

(Supply similar information and signature for seventh and subsequent joint inventors.)

Mailing Address
c/o KOBE Shipyard & Machinery Works, MITSUBISHI

Hyogo-ku, Kobe, Hyogo 652-8585, Japan

HEAVY INDUSTRIES, LTD., 1-1, Wadasaki-cho 1-chome,



217206US3PCT

### **Declaration and Power of Attorney For Patent Application**

### 特許出願宣言書及び委任状

### Japanese Language Declaration

#### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宜言します。	As a below named inventor, I hereby declare that:
私の住所、郵便の宛先、国籍は下記の私の氏名の後に記載された通りです。	My residence, mailing address and citizenship are as stated next to my name.
下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者(下記の氏名が一つの場合)もしくは最初かつ共同発明者(下記の名称が複数の場合)であると信じています。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.
	RADIOACTIVE SUBSTANCE CONTAINER, MANUFACTURING APPARATUS THEREOF AND MANUFACTURING METHOD THEREOF
上記発明の明細書は、	the specification of which
□ 本書に張付されています。	is attached hereto.
□ 月 日に提出され、米国出顧番号または特	was filed on December 21, 2001
許協定条約国際出願番号を	as United States Application Number or PCT International Application Number
ŁL.	09/926,811 and was amended on
(該当する場合) に訂正されました。	(if applicable)
私は、特許請求範囲を含む上記訂正後の明報書を検討し、 内容を理解していることをここに表明します。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編第1条56項に定義されると おり、特許資格の有無について重要な情報を開示する義 務があることを認めます。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.



# Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a) - (d)項又は365条 (b) 項に基づき下記の、米国以外の国の少なくとも一ヵ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出顧の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s) 外国での先行出顧

2222 424778	JAPAN
2000-124778 (Number) 番号)	(Country) 国名)
2000-205872	JAPAN
(Number) 番号)	(Country) 国名)

私は、第35編米国法典119条 (e) 項に基づいて下記の米国 特許出願規定に記載された権利をここに主張いたします。

(Application No.) (Filing Date) (出顧者号) (出顧日)

私は、下記の米国法典第35編120条に基づいて下記の米国 特許出題に記載された権利、又は米国を指定している特許協 力条約365条(c)に基づく権利をここに主張します。また、 本出題の各請求範囲の内容が米国法典第35編112条第1項 又は特許協力条約で規定された方法で先行する米国特許出顧 に開示されていない限り、その先行米国出顧審提出日以降で 本出顧書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条86項で定義された特許密有無に関する重要な情報について関示義務が あることを認識しています。

PCT/JP01/02420	26 March 2001	
(Application No.)	(Filing Date)	
(出願番号)	(出顧日)	
(Application No.)	(Filing Date)	
(出顧番号)	(出顧日)	

私は、私自身の知識に基づいて本宜言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出顧した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

委任状:私は下記の発明者として、本出願に関する一 数任状:私は下記の発明者として、本出願に関する一 切の手続きを米特許商標局に対して遂行する弁理士ま I hereby claim foreign priority under Title 35, United States Code, § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

	Priority Claimed 優先権主張	
25 April 2000	図	□
(Day/Month/Year Filed)	Yes	№
出頭年月日)	はい	いいえ
05 June 2000	図	□
(Day/Month/Year Filed)	Yes	No
出願年月日)	はい	いいえ

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

(Application No.) (Filing Date) (出題日)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status: Patented, Pending, Abandoned) (現況:特許許可済、保風中、放棄符)

(Status: Patented, Pending, Abandoned) (現況:特許許可濟、係属中、放棄资)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to

### Japanese Language Declaration (日本語宣言書)



022850

香類送付先

Send Correspondence to:



022850

直接電話連絡先:(名前及び電話番号)

Direct Telephone calls to: (name and telephone number)

(703) 413-3000

	, ,	
単独発明者または第一の共同発明者の氏名		Full name of sole or first inventor Yashihiko FUNAKOSHI
発明者の署名	日付	Inventor's signature Date
	·	Yoshihiko Funakoshi Jun. 22, 2002
住所		Residence Fukuoka, Japan
<b>国</b> 籍		Citizenship Japan
郵便の宛先		Mailing Address c/o JAPAN CASTING & FORGING CORPORATION, 46- 59, Sakinohama, Oaza-Nakabaru, Tobata-ku, Kitakyusyu- shi, Fukuoka 804-0002, Japan
第二の共同発明者の氏名	······································	Full name of second joint inventor, If any Takeshi TSUNEZUMI
第二の共同発明者の署名	日付	Second inventor's signature  Date  Jun. 22,  Takeshi Tasmeyumi 2002
住所		Residence Fukuoka, Japan
<b>国籍</b>		Citizenship Japan
郵便の宛先	,	Mailing Address c/o JAPAN CASTING & FORGING CORPORATION, 46- 59, Sakinohama, Osza-Nakabaru, Tobata-ku, Kitakyusyu- shi, Fukuoka 804-0002, Japan
第三以降の共同発明者についても同様に記載し 署名すること)		(Supply similar information and signature for third an subsequent joint inventors.)
第三の共同基明者の氏名		Full name of third joint inventor, if any

第三の共同発明者の氏名		Full name of third joint inventor, if any Naohiro MIZUNO	
第三の共同発明者の署名	日付	Third inventor's signature	Date
		Nachiro Mizuno Jun.	22, 2002
住所		Residence Fukuoka, Japan	
住所		Citizenship Japan	
郵便の宛先		Mailing Address c/o JAPAN CASTING & FORGING CORP 59, Sakinohama, Oeza-Nakabaru, Tobata- shi, Fukuoka 804-0002, Japan	

住所

郵便の宛先



No. 9237 P. 14 2001-029

### Japanese Language Declaration (日本語宣言書)

第四の共同発明者の氏名	Full name of fourth joint inventor, if any Katsuhiko TOKUNO	
第四の共同発明者の署名 日付	Fourth inventor's signature Date	
	Katauliko Tokuno Jun. 22, 200	
住所	Residence Fukuoka, Japan	
住所	Citizenship Japan	
郵便の宛先	Mailing Address c/o JAPAN CASTING & FORGING CORPORATION, 46- 59, Sakinohama, Oaza-Nakabaru, Tobata-ku, Kitakyusyu- shi, Fukuoka 804-0002, Japan	
第五の共同発明者の氏名	Full name of fifth joint inventor, if any	
	Chikayuki MATSUMOTO	
第五の共同発明者の署名 日付	Fifth inventor's signature  Date Jun. 22  Chikayuki Matsumoto 2002	
住所	Residence Fukuoka, Japan	

第六の共同発明者の氏名		Full name of sixth joint inventor, if any Yoshiharu TAURA	
第六の共同発明者の署名	日付	Sixth inventor's signature	Date
住所		Residence	
住所		Hiroshima, Japan Citizenship	
<b>正</b> 7		Japan	
郵便の宛先		Mailing Address c/o Paper & Printing Machinery Division HEAVY INDUSTRIES, LTD., 5007, Itoz Hiroshima 729-0393, Japan	

Citizenship

Mailing Address

shi, Fukuoka 804-0002, Japan

Japan

第七以降の共同発明者についても同様に記載し、 署名すること) (Supply similar information and signature for seventh and subsequent joint inventors.)

c/o JAPAN CASTING & FORGING CORPORATION, 46-59, Sakinohama, Oaza-Nakabaru, Tobata-ku, Kitakyusyu-

第三の共同発明者の氏名		Full name of seventh joint inventor, if a Shigenori SHIROGANE	ny
第三の共同発明者の署名	日付	Seventh inventor's signature	Date
住所		Residence Hiroshima, Japan	
住所		Citizenship Japan	
郵便の宛先		Mailing Address c/o HIROSHIMA Research & Developi MITSUBISHI HEAVY INDUSTRIES, L' shin-machi 4-chome, Nishi-ku, Hiroshi 8553, Japan	TD., 6-22, Kan-on-



No. 9237 P. 15 2001-02"

# Japanese Language Declaration (日本語宣言書)

第四の共同発明者の氏名		Full name of eighth joint inventor, if any Katsunan OHSONO Date
第四の共同発明者の署名	日付	Eighth inventor's signature Date
住所		Residence Hyogo, Japan
住所		Citizenship Japan
野使の宛先		Mailing Address c/o KOBE Shipyard & Machinery Works, MITSUBISHI HEAVY INDUSTRIES, LTD., 1-1, Wadasaki-cho 1-chome Hyogo-ku, Kobe, Hyogo 652-8585, Japan

第五の共同発明者の氏名	目付	Full name of ninth joint inventor, if any Toshihiro MATSUOKA Ninth inventor's signature Date
第五の共同発明者の署名	pe i a	
住所		Residence Hyogo, Japan
住所		Citizenship Japan
郵便の宛先		Mailing Address c/o KOBE Shipyard & Machinery Works, MITSUBISHI HEAVY INDUSTRIES, LTD., 1-1, Wadasaki-cho 1-chort Hyogo-ku, Kobe, Hyogo 652-8585, Japan

第六の共同発明者の氏名		Full name of tenth joint inventor, if an	
第六の共同発明者の署名	日付	Tenth inventor's signature	Date
住所		Residence	
住所		Citizenship	
郵便の宛先		Mailing Address	

第七以降の共同発明者についても同様に記載し、 署名すること) (Supply similar information and signature for seventh and subsequent joint inventors.)



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT Umfed States Patent and Trademark Office Washington, D.C. 20201

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO.
09/926,811	Yoshihiko Funakoshi	217206US3PCT	
		INTERNATIONAL AP	PLICATION NO.
		PCT/JP01/02420	
22850 OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC		I.A. FILING DATE	PRIORITY DATE
FOURTH FLOOR	TEOGRAPITO —	03/26/2001	04/25/2000

**CONFIRMATION NO. 4840** 371 FORMALITIES LETTER OC0000000081901821

Date Mailed: 05/30/2002

ARLINGTON, VA 22202

#### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

U.S. Basic National Fee

1755 JEFFERSON DAVIS HIGHWAY

- Priority Document
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
  - Information Disclosure Statements
  - · Oath or Declaration
  - Preliminary Amendments
  - Request for Immediate Examination

RECEIVED: OBI IN, SPIVAK, McCLELLAND MAIER & NEUSTADT, P.C.

DOCKETING DEP

Initials/Date Docketed: Type of Resp(s): Ne

Due Date(s):

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
  - There is a name change for inventor Fyuji Taura. The name on the declaration is Yoshiharu Taura. Please verify. Also, there are two sets of sole or first inventors and two sets of second, third and fourth inventors.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)



A copy of this notice **MUST** be returned with the response.

#### WINSTON M ALVARADO

Telephone: (703) 305-6421

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,811	PCT/JP01/02420	217206US3PCT

FORM PCT/DO/EO/916 (371 Formalities Notice)

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